1-1 By: Perry

(In the Senate - Filed February 16, 2017; February 28, 2017, read first time and referred to Committee on Health & Human 1-4 Services; April 10, 2017, reported adversely, with favorable Committee Substitute by the following vote: Yeas 8, Nays 0; 1-6 April 10, 2017, sent to printer.)

1-7 COMMITTEE VOTE

1-8		Yea	Nay	Absent	PNV
1-9	Schwertner	Χ			
1-10	Uresti	Χ			
1-11	Buckingham	Χ			
1-12	Burton	Χ			
1-13	Kolkhorst	Χ			
1-14	Miles			X	
1-15	Perry	Χ			
1-16	Taylor of Collin	Χ			
1-17	Watson	Χ			

1-18 COMMITTEE SUBSTITUTE FOR S.B. No. 924

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1**-**52 1**-**53 By: Perry

1-19 A BILL TO BE ENTITLED AN ACT

relating to informal dispute resolutions for violations of health and safety standards at certain long-term care facilities; authorizing the imposition of costs.

BE IT ENACTED BY THE LEGISLATURE OF THE STATE OF TEXAS:

SECTION 1. Section 247.051, Health and Safety Code, is amended by amending Subsections (a), (c), and (d) and adding Subsection (e) to read as follows:

- (a) The executive commissioner by rule shall establish an informal dispute resolution process to address disputes between an assisted living [a] facility and the commission [department] concerning a statement of violations prepared by the commission [department] in accordance with this section. The process must provide for adjudication by an appropriate disinterested person of disputes relating to a statement of violations. The informal dispute resolution process must require:
- (1) the assisted living facility to request informal dispute resolution not later than the 10th day after the date of notification by the <u>commission</u> [department] of the violation of a standard or standards;
- (2) that the [commission to complete the] process be completed not later than the 90th day after the date of receipt of a request from the assisted living facility for informal dispute resolution;
- (3) that, not later than the 10th business day after the date an assisted living facility requests an informal dispute resolution, the commission [department] forward to the assisted living facility a copy of all information that is referred to in the disputed statement of violations or on which a citation is based in connection with the survey, inspection, investigation, or other visit, including any notes taken by or e-mails or messages sent by a commission employee involved with the survey, inspection, investigation, or other visit and excluding the following information:
- 1-54 (A) the name of any complainant, witness, or 1-55 informant, which must be redacted from information provided to the 1-56 assisted living facility;
- 1-57 (B) any information that would reasonably lead to 1-58 the identification of a complainant, witness, or informant, which 1-59 must be redacted from information provided to the assisted living 1-60 facility;

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2-1 information obtained from or contained in the (C) records of the facility; 2-2

(D) information that is publicly available; or

information that is confidential by law; (E)

(4) that [the commission to give] full consideration is given to all factual arguments raised during the informal dispute resolution process [that:

[(A) are supported by references to specific information that the facility or department relies on to dispute or support findings in the statement of violations; and [(B) are provided by the proponent of

commission and the opposing party]; to

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- (5) that <u>full consideration</u> is given during dispute resolution process informal [staff give full consideration] to the information provided by the assisted living
- facility and the commission [department];
 (6) that ex parte communications concerning the substance of any argument relating to a survey, inspection, investigation, visit, or statement of violations under consideration not occur between the informal dispute resolution and the assisted living facility or staff the commission [department]; [and]
- (7) that the assisted living facility and commission [department] be given a reasonable opportunity to submit arguments and information supporting the position of the assisted living facility or the <u>commission</u> [department] and to respond to arguments and information presented against them; and

(8) that the commission bears the burden of proving

the violation of a standard or standards.

- (c) An assisted living facility requesting an informal dispute resolution under this section must reimburse the commission [department] for any costs associated with the commission's [department's] preparation, copying, and delivery of information requested by the facility.
- (d) A statement of violations prepared by the <u>commission</u> [department] following a survey, inspection, investigation, or visit is confidential pending the outcome of the informal dispute resolution process. Information concerning the outcome of a survey, inspection, investigation, or visit may be posted on any website maintained by the commission [department] while the dispute is pending if the posting clearly notes each finding that is in dispute.
- (e) The commission may charge and the assisted living facility shall pay the reasonable copy costs associated with making the redactions required by Subsections (a)(3)(A) and (B).

 SECTION 2. Section 531.058, Government Code, is amended by amending Subsections (a) and (a-1) and adding Subsection (d) to
- read as follows:
- (a) The executive commissioner by rule shall establish an informal dispute resolution process in accordance with this section. The process must provide for adjudication by an appropriate disinterested person of disputes relating to a proposed enforcement action or related proceeding of the commission under Section 32.021(d), Human Resources Code, or [the Department of Aging and Disability Services] under Chapter 242, 247, or 252, Health and Safety Code. The informal dispute resolution process must require:
- (1)an institution or facility to request informal dispute resolution not later than the 10th calendar day after notification by the commission [or department, as applicable,] of the violation of a standard or standards; and
- (2) the completion of [commission to complete] the process not later than:
- (A) the 30th calendar day after receipt of a request from an institution or facility, other than an assisted living facility, for informal dispute resolution; or
- 2-66 2-67 (B) the 90th calendar day after receipt of a request from an assisted living facility for informal dispute 2-68 2-69 resolution.

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established under this section, the commission shall contract with an appropriate disinterested person [who is a nonprofit organization] to adjudicate disputes between an institution or facility licensed under Chapter 242, Health and Safety Code, or a facility licensed under Chapter 247, Health and Safety Code, and the commission [Department of Aging and Disability Services] concerning a statement of violations prepared by the commission [department] in connection with a survey conducted by the commission [department] of the institution or facility. Section 2009.053 does not apply to the selection of an appropriate disinterested person under this subsection. The person with whom the commission contracts shall adjudicate all disputes described by this subsection.

(d) The rules adopted by the executive commissioner under Subsection (a) that relate to a dispute described by Section 247.051(a), Health and Safety Code, must incorporate the requirements of Section 247.051, Health and Safety Code.

SECTION 3. This Act takes effect September 1, 2017.

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